

IDS #5

Form PTO-1449 (REV. 8-83)		<b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>			ATTY. DOCKET NO. KT-001AX		APPLICATION NO. <div style="text-align: center;"> <b>PAPER #5</b> ✓         </div>	
					APPLICANT: James D. Schlick, et al.			
					FILING DATE HEREWITH		GROUP	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE*
Den	*	5,237,497	08/17/93	Sitarski	<del>705</del> <del>395</del>	<del>8</del> <del>208</del>	
Den	*	5,321,605	06/14/94	Chapman, et al.	<del>705</del> <del>364</del>	<del>8</del> <del>402</del>	
Den	*	5,331,545	07/19/94	Yajima, et al.	<del>705</del> <del>364</del>	<del>8</del> <del>401</del>	
Den	*	5,521,814	03/28/96	Teran, et al.	<del>700</del> <del>364</del>	<del>260</del> <del>402</del>	
Den	*	5,521,815	05/28/96	Rose, Jr.	<del>705</del> <del>364</del>	<del>28</del> <del>409</del>	
Den	*	5,675,745	10/07/97	Oku, et al.	<del>705</del> <del>395</del>	<del>7</del> <del>207</del>	
Den	*	5,737,727	04/07/98	Lehmann, et al.	705	7	
	*	<del>5,937,389</del>	<del>08/10/99</del>	<del>Maxwell</del>	<del>705</del>	<del>10</del>	<b>WITHDRAWN</b>

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES      NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)	
Den	✓ Kepner Tregoe, Problem Solving & Decision Making, Workshop Concept Briefings in Microsoft PowerPoint®, Copyright 1996, Kepner-Tregoe, Inc. <i>Printout of floppy disk contents.</i>
Den	✓ Kepner Tregoe, Problem Solving & Decision Making, Instructor Outline, Copyright 1996 by Kepner-Tregoe.
Den	✓ Kepner Tregoe, Problem Solving & Decision Making, Instructor Manual, Copyright 1965 by Kepner-Tregoe.
Den	✓ Kepner-Tregoe, Participant's Guide, Copyright 1996 by Kepner-Tregoe
Den	✓ Kepner-Tregoe, "Effective Questioning" Presentation Chart, Copyright 1996 by Kepner-Tregoe
Den	✓ RMC, Ltd., "Problem Solving & Decision Making User's Guide"


  

EXAMINER <span style="float: right;"><i>Roberts</i></span>	DATE CONSIDERED <span style="float: right;"><b>5/13/02</b></span>
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\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Copies of references cited can be found in parent case application serial number 09/347,238

Date: August 6, 2001  
Page 1 of 1

<p>Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 8-83) PATENT AND TRADEMARK OFFICE</p>	<p>ATTY. DOCKET NO. KT-001AX</p>	<p>APPLICATION NO. 09/493,783</p>
<p>SUPPLEMENTAL INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p>	<p>APPLICANT: James D. Schlick et al</p>	
	<p>FILING DATE January 28, 2000</p>	<p>GROUP 2768</p>

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE*

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS** (including Author, Title, Date, Pertinent Pages, etc.)

[illegible]

EXAMINER	DATE CONSIDERED
<i>[Signature]</i>	5/14/02

**\*EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

JT/ knr 255634-1

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE <b>Protest under 37 CFR 1.291(a)</b> (use several sheets if necessary)	ATTY DOCKET NO. unknown	APPLICATION NO. unknown
	APPLICANT James D. Schlick	
	FILING DATE 01/28/2000 unknown	GROUP ART UNIT 2163

Protestor is filing a Protest under 37 CFR 1.291(a) for a US non-provisional application filed by James D. Schlick, assigned to Kepner-Tregoe, Inc., which claims priority of US provisional applications 60/091,476, filed July 2, 1998, entitled ELECTRONIC TOOL, and 60/133,746, filed May 12, 1999, entitled ELECTRONIC TOOL. The Protest is being filed herewith. The case is in front of Examiner Kyle Chol.

### U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Inventor Name	Class	Sub-class	Filing Date (if appropriate)
						RECEIVED
						AUG 01 2001


Technology Center 2100

### FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation
						No

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	Document Description
	<del>Decision Focus Software, version 1.0, copyrighted 1995</del> (NO DISTINCT PAPERS SUBMITTED)
DCR	Decision Focus Software, version 1.0 User's Guide, copyrighted 1995
DCR	Figures 1-24, which are screen shots from Decision Focus Software, version 1.0
DCR	Decision Focus Software Network Version 1.0 User's Guide, copyrighted 1995
DCR	Excerpt from "3M Stemwinder," published May 10, 1995
DCR	1996 ASTD Buyer's Guide & Consultant Directory, published November 1995
DCR	Kepner-Tregoe, Inc. v. Executive Development, Inc., Civ. No. 97-CV-3473 (D. N.J. 1999), p. 4, App. 0006

EXAMINER 	DATE CONSIDERED 5/4/02
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